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EXAMINER

WOODS, ERIC V

ART UNIT

PAPER NUMBER

2628

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Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/629,112

Applicant(s)

SAKAMOTO ET AL.

Examiner

Eric Woods

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 13 April 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/18/06 has been entered.

### ***Response to Arguments***

Applicant's arguments, see Remarks pages 1-5 and claim amendments, filed 13 April 2006, with respect to various rejections have been fully considered and are persuasive.

The rejection of claims 1-12 under 35 USC 103(a) over various combinations of references has been withdrawn.

After further consideration, new grounds of rejection against various claims in view of various references are set forth below.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 5 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The term "able to" in claims 1 and 5 is a relative term which renders the claim indefinite. The term "able to" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

Further, it is unclear whether or not the added component is necessary to the functioning of the system or not, since "able to" does not mean that such an element, step, or function is required.

As such, the claim is indefinite.

Further, claims 2-4 and 6-12 are rejected as not correcting the deficiencies of their parent claim(s).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-2 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi et al (US Patent 6,005,590)('Negishi') in view of Inoue.

As to claim 1,

A clipping device for judging whether or not vertices expressed by a predetermined coordinate system are inside or outside a multi-dimensional region of an object to be drawn, comprising: (preamble ignored as per *In re Hirao* and *Kropa v. Robie*, as it only recites an intended use, and the claimed process steps or apparatus parts can stand by themselves and perform the intended function)

-A clip code generation circuit for generating clip codes obtained by setting data in accordance with results of a comparison of coordinates of said vertices and a judgment reference value of said multi-dimensional region and a negative value of the judgment reference value as bit data; (Negishi in Figure 3 teaches a clip code generating circuit, where FPU (floating point units) 103, 104, and 105 generate clip codes (7:52-8:15) that then are passed to shift registers 304, 305, and 306. As set forth (7:52-8:15) by Negishi, the clip codes are generated by comparing the coordinates of the vertices and the reference values (e.g.  $X=X_{MAX}$  and  $X=X_{MIN}$ ) for comparison through the clipping region (which prima facie is multi-dimensional (see Figures 5-7 and the explanations provided in the cited references, and these are clearly bit data since they are in the memory of a digital computer. It would be obvious, as shown in Figure 6 and further explained in 8:64-10:7, that each vertex is processed on its own, which teaches vertices, and the recited 'judgment values' are merely the bounding coordinates of the clipping region and/or the guardband. Negishi also generates clip state codes (see

Figure 7, 8:45-65), where these state codes indicate whether an object is inside, outside, or intersects the specific view volume. These codes can be taken to embody positive and negative judgment values as required by the claim, since they indicate where the object is, and specific information with relation to the vertex)(Inoue generates clip codes in Figure 7, with the those codes propagating through several layers of registers, as shown in elements 251-253, which constitute registers)

-A current clip register for shifting the clip codes generated at said clip code generation circuit; (Negishi - the resulting clip codes from FPU 103, 104, and 105 are then sent to shift registers 304, 305, and 306 which are comparable to applicant's "current clip registers". The results are then passed on to generic clip code register 308. The system works as specified above (8:48-64). Further, Negishi's shift registers clearly move data from each shift register to the next to the final clip code register at the bottom – see 8:45-65, so clearly they constitute shift registers)(Inoue, registers 23 in Figure 8 for example)

-Clip registers cascade connected to an output of said current clip register and able to replace the held data with the clip codes held by the register of a previous stage; and (Negishi clearly shows clip registers 304-306, which constitute 'clip registers cascade connected' as recited above, and the clip code register 308 would then constitute 'clip registers' as recited above, which clearly hold the output of the previous stage)(Inoue clearly shows in Figure 7 that the latches 251-253 are serially connected and that their results are passed to each other, where it is clear that a serial connection is advantageous. See also latches 254-256 in Figures 8-10)

-A logic circuit for performing a logic operation with respect to all bit data set in said clip registers and setting a clip flag indicating whether or not a vertex to be judged is inside or outside the multi-dimensional region of the object to be drawn. (Negishi teaches element 307, Figure 3, the clip state code generator is clearly "a logic circuit" and it performs operations on the clip codes to generate clip state codes (7:52-8:25). Further, Figure 5(a) provides an example of how that portion of the system works (8:27-47), where the clip state codes indicate whether an object is inside or outside the clip region as explained therein. Further, the fourth embodiment shown in Figure 10 has a mask register 1001 that is explicitly taught to perform logical operations against the entire contents of the target register in terms of a comparison operation (see 11:15-60))(Inoue teaches element 26 (primitive selection circuit) that generates signal M2, which represents the clip flag concerning whether or not the vertex is judge to be inside or outside the multi-dimensional region of the object to be drawn.)

Reference Negishi therefore teaches most of the limitations of the instant claim as set forth above except for stating explicitly that the vertex is compared with both the judgment value and a negative judgment value, and the cascaded shift register combination per se. However, one of ordinary skill in the art would appreciate that the judgment values merely define the bounding region of the two- or three-dimensional region or volume, and that if the coordinate system were normalized so that the zero value and/or origin was situation within the clipping region or volume. One of ordinary skill in the art at the time the invention was made would understand that such a modification would be trivial and could be advantageous since it would reduce the

amount of processing necessary to perform the comparisons. In any case, the limitation of negative judgment value is truly irrelevant because the values of such judgment values is entirely dependent upon the coordinate system used and the bounding region defined by such a system, which may not be symmetric and/or axisymmetric.

Therefore, since the system of Negishi generates judgment value results for at least positive vertices, it would be obvious to do so for both upper and lower bounds as tested for by XMIN, XMAX, and the like.

Further, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the second embodiment of Negishi such that it had the mask register in the fourth embodiment, so that the masking and comparison could be performed in such a way that allowed for conditional judgments and jump instructions to be used, which would clearly give it more flexibility.

Additionally, Negishi teaches above the use of clip state codes that are output with the clip codes that constitute the judgment values that are required, where the comparisons are done based on these values and meet the limitations of the instant claim. At the least, such modification to do both comparisons would have been obvious in light of the reference as explained above, since both the min and max are tested against judgment values (e.g. clipin, clipout (in region and out of region)) anyway, where this constitutes the key idea of two tests with respect to the clip codes.

However, Negishi does not directly teach the use of a current clip register, although it certainly suggests such, since the results from the clip code generator circuits are sent to shift registers that store the results and then shift results out, while



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the resultant data values are held in clip register 308, which also contains clip state codes. It is noted that Negishi clearly teaches that such data is passed from the shift registers **to the clip register**, which is clearly in a serial connection. Clearly, this constitutes two shift registers. Next, Inoue also suggests the use of such techniques, as illustrated in elements 251, 252, and 253, which serve as registers given how they are connected and how they function for the second embodiment (6:35-7:50), where they store the results of the calculation (in the same manner as register 308 of Negishi), but perform logical operations upon the contents of those registers, such that they can then be selected easily as required by the dimension selection (e.g. the determination of what type of primitive was being used, which is obviously advantageous for the reasons set forth below.)

Inoue teaches that each type of primitive would take one more set of shift registers (e.g. three primitive types – one, two, or three points or vertices requiring 6, 12, or 18 bits respectively), it would be obvious to disable or enable registers based on the processing power required (e.g. the size of the primitive to be processed). The type of primitive would be selected during vertex processing and the appropriate shift registers would be enabled or disabled by the control circuit. Therefore, it would have been obvious to use the control circuitry blocks (elements 251-253 in Figure 7, elements 254-256 in Figures 8 and 9), and the like – and have cascaded registers of the type shown in Negishi, with the large register 308 replaced by the smaller registers / memories of Inoue so that only necessary circuits are utilized and that for a point primitive unnecessary calculations and the like are not made.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the clipping circuit of Negishi with the absolute values of Inoue, since Inoue is clearly designed to accommodate N dimensions (2:41-49) where Negishi is meant to only accommodate standard (x, y, z) coordinates in the cited embodiment, and clearly Inoue further enables faster processing depending on the type of primitive, as stated above

Additionally, it is pointed out that although Negishi and Inoue operate on plural vertices, the CAFC has held (see *Scanner Technologies v. IKOS Vision systems* (CAFC 2004)) repeatedly that the article 'a' is construed as 'one or more', which therefore means that these references cover this particular grounds of rejection.

As to claim 2, clearly as shown in Figures 5(a)-5(b) and 6 and as explained above in 7:52-8:16, the system of Negishi utilizes coordinates of vertices in 3-space, that is utilizes a three-dimensional coordinate system, and such vertices therefore correspond to a plurality of coordinate axes. Clearly, as explained in 8:15-10:20 in the example provided for Figures 6 and 7, the clip codes generated by FPUs 103-105, clearly constitute a 'plurality of clip codes' that do correspond to the coordinate axes. Clearly since such clip codes are then output to shift registers 304-306, the clip registers must inherently have a capacity for holding at least those clip codes, as recited in the cited sections, as discussed in the rejection to the parent claim above, and it would be obvious.

As to claim 3,

A clipping device as set forth in claim 1, wherein said clip code generation circuit generates said clip codes based on code data obtained by subtracting an absolute value of said judgment reference value from the absolute value of said vertex coordinates, code data of said vertex coordinates, and code data of said judgment reference value.

Reference Negishi does not explicitly teach the use of an absolute value operator, but does teach the setting of a target volume with the area around it, and the checking of a vertex to see if it is in the test volume and within the clip volume and the setting of flags thereof. Reference Inoue teaches the use of absolute values in clipping preprocessing circuits, specifically 2:19-41 teaches that the clipping device performs an operation (subtraction) on the absolute value of a vertex coordinate and a pair of boundaries, wherein similar to Negishi the clip data of the boundaries are put into the register and the check is performed, and the code data recited by applicant clearly is stored (from the axis basis). Further, Table 1 in Inoue illustrates (1:53-63) how codes are created for the view volume. It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the clipping circuit of Negishi with the absolute values of Inoue, since Inoue is clearly designed to accommodate N dimensions (2:41-49) where Negishi is meant to only accommodate standard (x, y, z) coordinates in the cited embodiment.

As to claim 4,

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A clipping device as set forth in claim 2, wherein said clip code generation circuit said clip codes based on code data obtained by subtracting an absolute value of said judgment reference value from the absolute value of said vertex coordinates, code data of said vertex coordinates, and code data of said judgment reference value.

See the rejection to claim 3 above. The additional limitations of claim 2 are all taught by the primary reference as discussed in the rejection of claim 2. The claim wording is the same with the substitution of only "claim 2" for "claim 1". Therefore, the entirety of that rejection, along with the motivation and combination, is incorporated herein by reference without further comment.

As to claim 5,

A clipping device for judging whether or not vertices of a primitive expressed by a predetermined coordinate system are inside or outside a multi-dimensional region of an object to be drawn, a polyhedron being drawn in units of primitives including a plurality of vertices, comprising: (preamble ignored as per *In re Hirao* and *Kropa v. Robie*, as it only recites an intended use, and the claimed process steps or apparatus parts can stand by themselves and perform the intended function. Secondly, the system of Negishi clearly teaches in the abstract that the system is intended to operate in three-dimensions, and the examples shown in Figures 4 and 6 for example show three-dimensional coordinate space, and clearly a three-dimensional object would be composed of triangles or the like, and clearly Negishi teaches as set forth below processing this kind of item, and in Figures 4 and 6 such triangles are shown.)

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-A clip code generation circuit for generating clip codes obtained by setting data in accordance with results of a comparison of vertex coordinates of said primitive and a judgment reference value of said multi-dimensional region and a negative value of the judgment reference value as bit data for the amount of the vertexes of the primitive; (Negishi in Figure 3 teaches a clip code generating circuit, where FPU (floating point units) 103, 104, and 105 generate clip codes (7:52-8:15) that then are passed to shift registers 304, 305, and 306. As set forth (7:52-8:15) by Negishi, the clip codes are generated by comparing the coordinates of the vertices and the reference values (e.g.  $X=X_{MAX}$  and  $X=X_{MIN}$ ) for comparison through the clipping region (which *prima facie* is multi-dimensional (see Figures 5-7 and the explanations provided in the cited references, and these are clearly bit data since they are in the memory of a digital computer. It would be obvious, as shown in Figure 6 and further explained in 8:64-10:7, that each vertex is processed on its own, which teaches vertices, and the recited 'judgment values' are merely the bounding coordinates of the clipping region and/or the guardband. Further, clearly the examples of Negishi teach that a primitive (e.g. a triangle) is processed by the number of vertices, in this case three, and clearly the embodiment shown in Figure 1 shows that a four-vertex version is used (6:15-56); indeed, in 7:30-48 it states that any number of FPUs can be used to accommodate any number of vertices or the like) (Inoue, registers 23 in Figure 8 for example)

-A current clip register for a shifting the clip codes generated at said clip code generation circuit in accordance with a control signal; (Koss 9:38-51 discloses vertex clip code shift registers, which *prima facie* perform the recited functionality, since clip

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codes are *prima facie* generated by a clip code generating circuit and, if necessary, moved utilizing the clip code bus disclosed earlier. However, more specifically, Fig. 7 shows a shift register wherein coordinates are shifted in response to a control signal (12:30-60) and discloses how clip codes are shifted in that manner as well)(Inoue teaches registers for this processing in 2:19-41.)

-Clip registers of at least a number smaller than the number of vertexes of said primitive by one cascade connected to an output of said current clip register and able to replace the held data with the clip codes held by the register of a previous stage in accordance with a control signal; (Prima facie, shift instructions within the shift register that overwrite other coordinates or flags in that same register act as replacement operations.) (Inoue clearly shows in Figure 7 that the latches 251-253 are serially connected and that their results are passed to each other, where it is clear that a serial connection is advantageous. See also latches 254-256 in Figures 8-10, where Inoue allows the dimensionality of the primitive to determine the number of clip registers extant, as discussed below)

-A control circuit for outputting said control signal to the current clip register when receiving a clip code generation instruction to shift the clip codes generated at said clip code generation circuit and outputting said control signal to a corresponding clip register so as to replace the clip codes between adjacent clip registers including said current clip register when receiving a replacement instruction; (As discussed in the above paragraph, Koss 9:38-51 discusses the operation of such shift registers, which includes moving data as illustrated in Fig. 7 and structurally discussed in 9:51-58 (that is, that

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fulfills the adjacent shift register limitation (shown in Fig. 7) and the clip register limitation in general). The vertex load control line 224 for shift-registers (234, 236, 238, 240, 242, and 323, Koss Fig. 4) can be driven by the left stack control unit 122 – Koss Fig. 3) (10:1-24). Also, under some circumstances the shift registers can be controlled by the trivial accept and reject circuit shown below the shift registers in Fig. 4. Either the left stack control unit 122 or the accept/reject logic 250 in Fig. 4.) (Prima facie, shift instructions within the shift register that overwrite other coordinates or flags in that same register act as replacement operations.) (Koss Figure 10 a flowchart of steps is shown. As the systems transitions from step 312 to 316 and complete processing a vertex, a flag is generated internally. Further, once step 316 is complete, the system generates results flag for all vertices (step 318). Koss further teaches in 6:31-47 that a replace mode can exist that will substitute one set of color values (object) for another (texture). Such a replace mode *prima facie* could obviously be applied to coordinates instead of colors (three values (x, y, z) (R, G, B)). Such replacement mode as discussed above would obviously be applied then, or if only one set of registers was being used, would be applied in between steps 312 and 316 in Koss if only one register were being used (and applicant's claim specifically states that it includes situations where only one register would be used).) (The resulting clip codes from FPU 103, 104, and 105 are then sent to shift registers 304, 305, and 306 that are comparable to applicants "clip registers". The results are then passed on to generic clip code register 308. The system works as specified above (8:48-64).)

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-A logic circuit for performing a logic operation with respect to all bit data set in said clip registers and setting a clip flag indicating whether or not a vertex to be judged is inside or outside the multi-dimensional region of the object to be drawn. (Element 307, Figure 3, the clip state code generator is clearly "a logic circuit" and it performs operations on the clip codes to generate clip state codes (7:52-8:25). Further, Figure 5(a) provides an example of how that portion of the system works (8:27-47), where the clip state codes indicate whether an object is inside or outside the clip region as explained therein. Further, the fourth embodiment shown in Figure 10 has a mask register 1001 that is explicitly taught to perform logical operations against the entire contents of the target register in terms of a comparison operation (see 11:15-60))(Inoue teaches element 26 (primitive selection circuit) that generates signal M2, which represents the clip flag concerning whether or not the vertex is judge to be inside or outside the multi-dimensional region of the object to be drawn.)

Reference Negishi teaches most of the above limitations, but does not expressly teach the limitation concerning the current clip register (although implicitly the common clip register 308 could partially fulfill that purpose, but that is beside the point).

Reference Inoue teaches the additional limitations as set forth above.

However, one of ordinary skill in the art would appreciate that the judgment values merely define the bounding region of the two- or three-dimensional region or volume, and that if the coordinate system were normalized so that the zero value and/or origin was situation within the clipping region or volume. One of ordinary skill in the art at the time the invention was made would understand that such a modification would be



trivial and could be advantageous since it would reduce the amount of processing necessary to perform the comparisons. In any case, the limitation of negative judgment value is truly irrelevant because the values of such judgment values is entirely dependent upon the coordinate system used and the bounding region defined by such a system, which may not be symmetric and/or axisymmetric. Further, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the second embodiment of Negishi such that it had the mask register in the fourth embodiment, so that the masking and comparison could be performed in such a way that allowed for conditional judgments and jump instructions to be used, which would clearly give it more flexibility.

Further, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the second embodiment of Negishi such that it had the mask register in the fourth embodiment, so that the masking and comparison could be performed in such a way that allowed for conditional judgments and jump instructions to be used, which would clearly give it more flexibility.

Additionally, Negishi teaches above the use of clip state codes that are output with the clip codes that constitute the judgment values that are required, where the comparisons are done based on these values and meet the limitations of the instant claim. At the least, such modification to do both comparisons would have been obvious in light of the reference as explained above, since both the min and max are tested against judgment values (e.g. clipin, clipout (in region and out of region)) anyway, where this constitutes the key idea of two tests with respect to the clip codes.

However, Negishi does not directly teach the use of a current clip register, although it certainly suggests such, since the results from the clip code generator circuits are sent to shift registers that store the results and then shift results out, while the resultant data values are held in clip register 308, which also contains clip state codes. It is noted that Negishi clearly teaches that such data is passed from the shift registers **to the clip register**, which is clearly in a serial connection. Clearly, this constitutes two shift registers. Next, Inoue also suggests the use of such techniques, as illustrated in elements 251, 252, and 253, which serve as registers given how they are connected and how they function for the second embodiment (6:35-7:50), where they store the results of the calculation (in the same manner as register 308 of Negishi), but perform logical operations upon the contents of those registers, such that they can then be selected easily as required by the dimension selection (e.g. the determination of what type of primitive was being used, which is obviously advantageous for the reasons set forth below.)

Inoue teaches that each type of primitive would take one more set of shift registers (e.g. three primitive types – one, two, or three points or vertices requiring 6, 12, or 18 bits respectively), it would be obvious to disable or enable registers based on the processing power required (e.g. the size of the primitive to be processed). The type of primitive would be selected during vertex processing and the appropriate shift registers would be enabled or disabled by the control circuit. Therefore, it would have been obvious to use the control circuitry blocks (elements 251-253 in Figure 7, elements 254-256 in Figures 8 and 9), and the like – and have cascaded registers of the type shown

in Negishi, with the large register 308 replaced by the smaller registers / memories of Inoue so that only necessary circuits are utilized and that for a point primitive unnecessary calculations and the like are not made.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the clipping circuit of Negishi with the absolute values of Inoue, since Inoue is clearly designed to accommodate N dimensions (2:41-49) where Negishi is meant to only accommodate standard (x, y, z) coordinates in the cited embodiment, and clearly Inoue further enables faster processing depending on the type of primitive, as stated above

Additionally, it is pointed out that although Negishi and Inoue operate on plural vertices, the CAFC has held (see *Scanner Technologies v. IKOS Vision systems* (CAFC 2004)) repeatedly that the article 'a' is construed as 'one or more', which therefore means that these references cover this particular grounds of rejection.

As to claim 6,

A clipping device as set forth in claim 5, wherein said control circuit outputs said control signal to a corresponding clip register so as to replace the clip codes along with the vertex processing in accordance with the type of the primitive.

Reference Negishi does not explicitly teach this limitation. Reference Inoue teaches in 1:40-67 and 2:1-11 that different amounts of memory (registers) are required for processing each kind of primitive. Inoue teaches that each type of primitive would take one more set of shift registers (e.g. three primitive types – one, two, or three points

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or vertices requiring 6, 12, or 18 bits respectively), it would be obvious to disable or enable registers based on the processing power required (e.g. the size of the primitive to be processed). The type of primitive would be selected during vertex processing and the appropriate shift registers would be enabled or disabled by the control circuit (Koss 10:24-44) as required, which occurs during the initial vertex processing stage. Finally, Inoue has a dimension selection signal that would perform this function (11:25-32). . It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the clipping circuit of Negishi with the absolute values of Inoue, since Inoue is clearly designed to accommodate N dimensions (2:41-49) where Negishi is meant to only accommodate standard (x, y, z) coordinates in the cited embodiment, and clearly Inoue further enables faster processing depending on the type of primitive, as stated above.

As to claim 11,

A clipping device as set forth in claim 5, wherein:

- Said coordinates of said vertexes include values corresponding to a plurality of coordinate axes of a predetermined coordinate system,
- Said clip code generation circuit generates a plurality of clip codes corresponding to the coordinate axes, and
- Said clip registers have capacities for holding at least said plurality of clip codes.

See rejection to claim 2. Claim 11 is an exact duplicate of claim 2, and the only difference is the substitution of the words "claim 5" for "claim 1". The rejection is based only on the primary reference anyway.

As to claim 12,

A clipping device as set forth in claim 5,

-Wherein the clip code generation circuit generates said clip codes based on code data obtained by subtracting an absolute value of said judgment reference value from the absolute value of said vertex coordinates, code data of said vertex coordinates, and code data of said judgment reference values.

See rejection to claim 3. Claim 12 is an exact duplicate of claim 3, and the only difference is the substitution of the words "claim 5" for "claim 1". Therefore, the explanation, motivation, and combination are incorporated herein by reference without further comment.

Claims 7-8 and 11 are rejected under 35 USC 103(a) as unpatentable over Negishi in view of Inoue as applied to claim 5 above, and further in view of Koss.

As to claim 7,

A clipping device as set forth in claim 5, wherein said control circuit generates a vertex ready flag indicating that the vertexes' worth of clip codes of said primitive are ready at the time of the replacement instruction.

Reference Koss does not explicitly teach this limitation, but in Figure 10 a flowchart of steps is shown. As the systems transitions from step 312 to 316 and complete processing a vertex, a flag is generated internally. Further, once step 316 is complete, the system generates results flag for all vertices (step 318). Koss further teaches in 6:31-47 that a replace mode can exist that will substitute one set of color

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values (object) for another (texture). Such a replace mode *prima facie* could obviously be applied to coordinates instead of colors (three values (x, y, z) (R, G, B)). Inoue 8:9-23 teaches that vertices are updated, that is, overwritten at a particular signal. Such replacement mode as discussed above would obviously be applied then, or if only one set of registers was being used, would be applied in between steps 312 and 316 in Koss if only one register were being used (and applicant's claim specifically states that it includes situations where only one register would be used.) Further, a replacement mode could also be construed as occurring when the register values are shifted to generate the clip sub-does, as shown in Fig. 7 of Koss.

Reference Negishi teaches most of the above limitations, but does not expressly teach the limitation concerning the current clip register (although implicitly the common clip register 308 could partially fulfill that purpose, but that is entirely beside the point). Reference Koss teaches the additional limitations as set forth above. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Negishi with Koss because the system of Koss significantly reduces computational load for primitives and other advantages (3:15-55), the Koss reference is analogous art, and can handle polylines (4:20-35), which would allow the Negishi reference to handle N vertices in a way that would be computationally easier than dividing N primitives into N shift registers as per Negishi's suggestion.

As to claim 8,

A clipping device as set forth in claim 6, wherein said control circuit generates a vertex ready flag indicating that the vertexes' worth of clip codes of said primitive are ready at the time of execution of the replacement instruction.

See the rejection for claim 7, this claim is a substantial duplicate with only the words "claim 6" substituted for "claim 5."

Claims 9-10 are rejected under 35 U.S.C. 103(a) under Negishi in view of Inoue as applied to claim 5 (and 6) above, and further in view of Oliver et al (US 5,313,610)('Oliver') and Koss.

As to claim 9,

A clipping device as set forth in claim 5, wherein said control circuit selectively initializes a desired register among a plurality of clip registers including said current clip register under predetermined conditions.

References Negishi, and Inoue do not explicitly teach this limitation. Reference Oliver teaches (3:58-67) that registers are selected and initialized through a bus, meaning that such registers can be individually selected and initialized. As shown in Oliver Fig. 2, the registers (40<sub>1</sub>-40<sub>K</sub>) are controlled by control logic 20 over said bus 14. It is well known in the art to initialize a register to prepare it for use in computational purposes or during a reset. Obviously, the control circuit of Oliver could perform the

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recited limitation of claim 9, since the clipping circuits of Koss and Inoue have multiple registers, including the "current clip register" recited by applicant as established in the rejections to earlier, parent claims. Given that shift operations where registers are overwritten are taught (e.g. Fig. 7 in Koss) and replace operations are taught (see discussion in the rejection for claim 7, also Inoue 8:9-23 teaches that vertices are updated, that is, overwritten at a particular signal), these would constitute circumstances that would generate "predetermined conditions" as recited by applicant. Given that Oliver teaches a control circuit for memory systems, e.g. register files, and Koss and Inoue have multiple registers that could *prima facie* obviously be embodied as a register file, it would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the clip circuits of Koss and Inoue with the control logic of Oliver to achieve the selective initialization recited by applicant.

Reference Negishi teaches most of the above limitations, but does not expressly teach the limitation concerning the current clip register (although implicitly the common clip register 308 could partially fulfill that purpose, but that is entirely beside the point). Reference Koss teaches the additional limitations as set forth above. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Negishi with Koss because the system of Koss significantly reduces computational load for primitives and other advantages (3:15-55), the Koss reference is analogous art, and can handle polylines (4:20-35), which would allow the Negishi reference to handle N vertices in a way that would be computationally easier than dividing N primitives into N shift registers as per Negishi's suggestion.



As to claim 10,

A clipping device as set forth in claim 6, wherein said control circuit selectively initializes a desired register among a plurality of clip registers including said current clip register under predetermined conditions.

See the rejection for claim 9; the claim is an exact duplicate of claim 9, with the exception that the words "claim 6" were substituted for "claim 5".

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eric Woods whose telephone number is 571-272-7775. The examiner can normally be reached on M-F 7:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ulka Chauhan can be reached on 571-272-7782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Eric Woods

August 4, 2006



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